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**RULE 63 (37 C.F.R. 1.63)  
INVENTORS DECLARATION FOR PATENT APPLICATION  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

As a below named inventor, I hereby declare that my residence, mailing address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**AIR GAP WINDING METHOD AND SUPPORT STRUCTURE FOR A SUPERCONDUCTING GENERATOR AND METHOD FOR FORMING THE SAME**

the specification of which (check applicable box(s)):

- is attached hereto
  - was filed on December 22, 2000 as U.S. Application Serial No. 09/741,905 (Atty. Dkt. No. 839-825)
  - was filed as PCT International application No. \_\_\_\_\_ on \_\_\_\_\_
- and (if applicable to U.S. or PCT application) was amended on \_\_\_\_\_

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose to the Patent Office all information known to me to be material to patentability as defined in 37 C.F.R. 1.56. I hereby claim foreign priority benefits under 35 U.S.C. 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed or, if no priority is claimed, before the filing date of this application:

Priority Foreign Application(s):	Country	Day/Month/Year Filed
<b>Application Number</b>		

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

<b>Application Number</b>	<b>Date/Month/Year Filed</b>

I hereby claim the benefit under 35 U.S.C. 120/365 of all prior United States and PCT international applications listed above or below.

<b>Prior U.S./PCT Application(s):</b>	<b>Day/Month/Year Filed</b>	<b>Status: patented pending, abandoned</b>
<b>Application Serial No.</b>		

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. And on behalf of the owner(s) hereof, I hereby ask that all communications in this matter be directed to: **NIXON & VANDERHYE P.C., 1100 North Glebe Rd., 8<sup>th</sup> Floor, Arlington, VA 22201-4714, telephone number (703) 816-4000.**

- Inventor's Signature: *James Frederick Hopeck* Date: 03/22/01

Inventor: James Frederick HOPECK United States  
 (first) (MI) (last) (citizenship)

Residence: (city) Mechanicville (state/country) NY

Mailing Address: 29 Johnson Road, Mechanicville, NY  
 (Zip Code) 12118
- Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Inventor: \_\_\_\_\_ (first) \_\_\_\_\_ MI \_\_\_\_\_ (last) \_\_\_\_\_ (citizenship)

Residence: (city) \_\_\_\_\_ (state/country) \_\_\_\_\_

Mailing Address: \_\_\_\_\_  
 (Zip Code) \_\_\_\_\_

**See attached sheet(s) for additional inventor(s) information!!**



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

HOPECK

Atty. Ref.: 839-825

Serial No. 09/741,905

Group:

Filed: December 22, 2000

Examiner:

For: AIR GAP WINDING METHOD AND SUPPORT STRUCTURE FOR A SUPERCONDUCTING GENERATOR AND METHOD FOR FORMING THE SAME

\* \* \* \* \*

Assistant Commissioner for Patents Washington, DC 20231

Sir:

EXCLUSIVE SUBSTITUTE POWER OF ATTORNEY AND EXCLUSIVE PROSECUTION HEREAFTER BY ASSIGNEE UNDER 37 C.F.R. §§ 1.36, 3.71 AND 3.73

The undersigned being the owner of all right, title and interest in the above-identified patent application, hereby revokes all previous powers of attorney in this case, if any, and hereby appoints Nixon & Vanderhye, P.C., 1100 North Glebe Rd., 8th Floor, Arlington, Virginia 22201-4714, telephone number (703) 816-4000, facsimile number (703) 816-4100, and the following attorneys thereof (of the same address) individually and collectively its attorneys to prosecute this application and to transact all business in the Patent and Trademark Office in connection therewith, and with the resulting patent: Larry S. Nixon, 25640; Arthur R. Crawford, 25327; James T. Hosmer, 30184; Robert W. Faris, 31352; Richard G. Besha, 22770; Mark E. Nusbaum, 32348; Michael J. Keenan, 32106; Bryan H. Davidson, 30251; Stanley C. Spooner, 27393; Leonard C. Mitchard, 29009; Duane M. Byers, 33363; Jeffry H. Nelson, 30481; John R. Lastova, 33149; H. Warren Burnam, Jr. 29366; Mary J. Wilson, 32955; J. Scott Davidson,

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Serial No. 09/741,905

33489; Alan M. Kagen, 36178; Robert A. Molan, 29834; B. J. Sadoff, 36663; James D. Berquist, 34776; Updeep S. Gill, 37334; Michael J. Shea, 34725; Donald L. Jackson, 41090; Michelle N. Lester, 32331; Frank P. Presta, 19828; Joseph S. Presta, 35329; Joseph A. Rhoa, 37515; Raymond Y. Mah, 41426; Chris Comuntzis, 31097; Robert C. Lampe, Jr., 27915, General Electric Co., 1 River Road, Bldg. 37, 5<sup>th</sup> Floor, Schenectady, NY 12345; James Mitchell, 25602, General Electric Co., 3135 Easton Turnpike (W3C), Fairfield, CT 06431; James E. McGinness, 33260, GE Wilmington Facility, P.O. Box 780, Mail Code A11, Wilmington, NC 28401; Ronald E. Myrick, 26315, General Electric Co., 3135 Easton Turnpike (W3C), Fairfield, CT 06431; William B. Dyer III, 41725, GE Power Systems, 4200 Wildwood Parkway, 2-05C-05, Atlanta, GA 30339; Jay Chaskin, 24030, General Electric Co., 3135 Easton Turnpike (W3D62), Fairfield, CT 06431; Ernest G. Cusick, 39476, General Electric Co., 1 River Road, Bldg. 37, 5<sup>th</sup> Floor, Schenectady, NY 12345; Henry J. Policinski, 26621, General Electric Co., 3135 Easton Turnpike (W3E), Fairfield, CT 06431-0001; Bernard Snyder, 24843, General Electric Co., 3135 Easton Turnpike (W3E), Fairfield, CT 06431-0001; Catherine J. Winter, 38364, General Electric Co., 3135 Easton Turnpike (W3E), Fairfield, CT 06431-0001; and Tyler Maddry, 40074, General Electric Co., 1 River Road, Bldg. 37, 5<sup>th</sup> Floor, Schenectady, NY 12345.

I also authorize Nixon & Vanderhye to delete any attorney names/numbers no longer with the firm and to act and rely solely on instructions communicated from the person, attorney, firm or other organization sending instructions to Nixon & Vanderhye on behalf of the owner.

**Certificate Under 37 C.F.R. §3.73(b)**

I hereby certify that General Electric Company of 1 River Road, Schenectady, NY 12345 is the assignee of the entire right, title and interest in the patent application identified above by

virtue of an assignment from the inventors to the aforesaid assignee, a copy of the assignment being attached.

I have reviewed the documents in the chain of title of the patent application identified above, and to the best of my knowledge and belief, title is in the aforesaid assignee for which I am empowered to act in this matter.

I declare further that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

**GENERAL ELECTRIC COMPANY**

March 23, 2001 By: Ernest G. Cusick  
Date Name: Ernest G. Cusick  
Title: Patent Counsel

ASSIGNMENT

In consideration of One Dollar and other good and valuable consideration, of which I acknowledge receipt, James Frederick Hopeck of 29 Johnson Road, Mechanicville, NY 12118, sells and assigns to General Electric Company, a New York Corporation, its successors and assigns the entire right, title and interest in and to the improvements in AIR GAP WINDING METHOD AND SUPPORT STRUCTURE FOR A SUPERCONDUCTING GENERATOR AND METHOD FOR FORMING THE SAME invented by me, as described in the application for United States Patent was filed on December 22, 2000 as Serial Number: 09/741,905; and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements or resulting therefrom to said General Electric Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, I will communicate to said Company or its representatives or nominees any facts known to me respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

03/22/, 2001  
Date

James Frederick Hopeck  
James Frederick Hopeck

STATE OF New York )

) ss:

COUNTY OF Schenectady )

This 22<sup>nd</sup> day of March, 2001, before me personally came the above-named James Frederick Hopeck, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

CYNTHIA A. SWANSON  
Notary Public, State of New York  
Registered in Schenectady County  
My Commission Expires 07/25/02  
Registration No. 01SW5030952

Cynthia A. Swanson  
Notary Public  
My Commission Expires: \_\_\_\_\_